1. A Plan Submission Application Guide (PSAG) describing the plan submission procedures is available upon request. Copies may be obtained by calling or writing to the Department of Housing, Buildings and Construction, Division of Building Code Enforcement or the Division of Plumbing. Our telephone numbers are: Building Codes 502/573-0373 or Plumbing 502/573-0397. Local Boards of Health should also be aware of these procedures.

2. KRS Chapters 322 & 323 should be consulted to determine the requirements for a Registered Design Professional such as an Architect and/or Engineer.

3. PLUMBING: Plumbing installations shall be made in conformance with the State Plumbing Code. The plumbing systems shall be shown in plan view and elevation view (Riser Diagram). These plans shall indicate the location of all fixtures, water distribution system and soil, waste & vent pipe systems. The size and material of all soil, waste & vent piping shall be clearly stated on the plans.

4. Check the regulations that may be applicable to the building type, such as: Kentucky Food Services Regulation, Kentucky Youth Camp Regulation, Kentucky Retail Food Market Regulation, etc...

### HOW MANY SETS OF PLANS TO SUBMIT???

**I. NUMBER OF PLAN SETS REQUIRED TO BE SUBMITTED:** Of the number of plan sets required, at least one shall be a complete set of construction documents and the remaining sets may consist of plumbing plans only. Systems, only one(1) set of plans is required. Any plan submittal that does not involve plumbing should only have one(1) plan for the Division of Building Code Enforcement.

**NOTE:** A plan set consists of 1 plan and 1 plan application form.

**NOTE:** When copying this form it is not necessary to copy this side.

1) Counties or Cities not listed below - One(1) complete plan set and three (3) plumbing plan sets for a total of four (4) plan sets

2) a) If in the city limits of Louisville - One(1) complete plan set and five (5) plumbing plan sets for a total of six (6) plan sets

b) If in Jefferson County and not within Louisville City Limits - One(1) complete plan set and four(4) plumbing plan sets for total of five (5) sets

**NOTE:** ALWAYS CHECK TO SEE IF ARCHITECTURAL REVIEW IS REQUIRED IN FRANKFORT BY THE DIVISION OF BUILDING CODES

**TOTAL NUMBER OF PLAN SETS REQUIRED TO BE SUBMITTED**

### II. ADDITIONAL PLAN SETS REQUIRED:

1) Project has a swimming pool - add one (1) plumbing plan set

2) Project has a private water supply - add one (1) plumbing plan set

3) Project has a private sewage disposal system with treated effluent - add one (1) plumbing plan set

**TOTAL NUMBER OF PLAN SETS REQUIRED TO BE SUBMITTED**

### SPECIAL PERMITS ARE REQUIRED FOR WATER SUPPLY AND WASTE WATER DISCHARGE PROJECTS

Applications and fees are required to be submitted to the Department of Housing, Buildings and Construction or the Division of Water of the Natural Resources Cabinet for the following facilities:

1. **WASTE WATER DISCHARGE PROJECTS**
   a. Private packaged treatment plant with surface discharge.
   b. Sanitary sewer extension that includes a manhole or lift station.
   c. Extension or addition to a sanitary sewer district with no building structures involved.
   d. Individual pre-treatment facilities.

2. **WATER SUPPLY PROJECTS**
   a. Private water supply to individual structure (Excluding Single Family Dwellings).
   b. Addition to city or county water districts.
   c. Water supply treatment plants

### TO OBTAIN SPECIAL APPLICATION FORMS AND TO DETERMINE IF A FEE IS REQUIRED, CONTACT THE NATURAL RESOURCES/ DIVISION OF WATER IN FRANKFORT @ 502/564-3410

If this project involves a plumbing system or plan related to a structure (building) approval, submit one(1) complete plan set and four(4) plumbing plan sets to the following:

**NOTE:** One of the plumbing plan sets will be forwarded to the Division of Water.

DEPARTMENT OF HOUSING, BUILDINGS AND CONSTRUCTION
101 SEA HERO ROAD, SUITE 100
FRANKFORT, KENTUCKY 40601-5405
502/573-0397

If this project does not involve a plumbing system or a structure (building) approval, submit four(4) plumbing plan sets and appropriate fee to:

DIVISION OF WATER
18 REILLY ROAD, FRANKFORT OFFICE PARK
FRANKFORT, KENTUCKY 40601
502/564-3410
### PLAN APPLICATION FORM

**COMMONWEALTH OF KENTUCKY**

**DEPARTMENT OF HOUSING, BUILDINGS AND CONSTRUCTION**

**DIVISION OF BUILDING CODE ENFORCEMENT & DIVISION OF PLUMBING**

101 SEA HERO ROAD, SUITE 100

FRANKFORT, KENTUCKY 40601-5405

BUILDING CODES: 502/ 573-0373  PLUMBING: 502/ 573-0397

**NOTE:** Complete all applicable spaces  Please type or print  Today’s Date: ____________

---

### NAME OF PERSON SUBMITTING PLANS

| BUSINESS & PROJECT NAME: |  | PHONE: | | | | |
|--------------------------|---|--------|---|---|---|
| (Or tenant name if multi-tenant building) |  |  |  |  |  |

**MAILING ADDRESS:**

<table>
<thead>
<tr>
<th>NUMBER / STREET, HWY, ROAD or P. O. BOX</th>
<th>CITY</th>
<th>STATE</th>
<th>ZIP CODE</th>
</tr>
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</table>

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### PROJECT CONTRACTOR:

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<th>CITY</th>
<th>STATE</th>
<th>ZIP CODE</th>
</tr>
</thead>
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### ENGINEER (NAME & FIRM)

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<th>CITY</th>
<th>STATE</th>
<th>ZIP CODE</th>
</tr>
</thead>
</table>

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### I, AS THE ARCHITECT LISTED ABOVE, AM RESPONSIBLE FOR CONSTRUCTION CONTRACT ADMINISTRATION.

---

### BUILDING INFORMATION

**NUMBER OF BUILDINGS IN THIS SUBMITTAL:** ______

**USE OF BUILDING(S):** (please specify)

**BUILDING(S) IN THIS PROJECT IS / ARE:**  

- NEW FREESTANDING BUILDING
- NEW ADDITION TO EXISTING STRUCTURE
- RENOVATION ONLY
- RENOVATION & ADDITION

**TOTAL AREA IN NEW BLDG. OR ADDITION:** ______ FT²

**TOTAL AREA IN EXISTING BLDG.:** ______ FT²

**DATE CONSTRUCTION TO BEGIN:** ______

**ESTIMATED COMPLETION DATE:** ______

---

### TYPE OF PLAN SUBMITTALS

<table>
<thead>
<tr>
<th>BUILDING PLAN SUBMITTALS</th>
<th>SHOP DRAWING PLAN SUBMITTALS</th>
</tr>
</thead>
<tbody>
<tr>
<td>(Check the type of evaluations requested at this time)</td>
<td>(Check the type of evaluations requested at this time)</td>
</tr>
</tbody>
</table>

**BUILDING PLAN REVIEW (BCE)**

- Full Building Review
- Expedited Site & Foundation Review
- Partial Evaluation (please specify)

**PLUMBING PLAN REVIEW**

- Plumbing Review ONLY
- Water Supply Review
- Waste Water Review
- Other (please specify)

---

### SUBMIT ONLY ONE SET FOR BCE

SEE BACK OF THIS FORM FOR PLUMBING PLAN SET REQUIREMENTS

---

### THE INFORMATION IN THIS SECTION IS FOR THE DIVISION OF PLUMBING

**TO BE COMPLETED BY PERSON SUBMITTING PLANS**

**DESIGN CAPACITY OF BUILDING:**  

**NO. OF MALES** ______  

**NO. OF FEMALES** ______

**ARE RESTROOMS ACCESSIBLE TO PUBLIC?**  

**YES**  

**NO**

**SEWAGE DISPOSAL:**  

**TYPE:**  

- MUNICIPAL
- PRIVATE

**ARE RESTROOMS ACCESSIBLE TO DISABLED?**  

**YES**  

**NO**

**WATER SUPPLY:**  

- PUBLIC
- DRILLED WELL
- CISTERN
- HAULED WATER
- ROOF WATER
- SPRING
- STREAM

**IF PRIVATE, INDICATE THE TYPE AND THE DESIGN:**

---

### BY WHOM:

<table>
<thead>
<tr>
<th>NAME</th>
<th>TITLE</th>
<th>REGISTRATION NUMBER</th>
</tr>
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### THIS SECTION TO BE COMPLETED BY THE LOCAL HEALTH DEPARTMENT OFFICIAL

**(Must be completed prior to sending Plumbing Plans to Frankfort)**

**REVIEWED BY:**

<table>
<thead>
<tr>
<th>NAME</th>
<th>DATE</th>
</tr>
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**APPROVED BY:**

<table>
<thead>
<tr>
<th>COUNTY OR DISTRICT HEALTH DEPARTMENT:</th>
<th></th>
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### THIS AREA FOR DEPARTMENT USE ONLY